

#3

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited on July 5, 2005 with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Aimee Harrison
Name of Person Mailing Paper: Aimee Harrison

7/5/05
Date

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kun Li et al.
Serial No.: 10/523,777 (filed on February 8, 2005 as Express
Mail No. EV536050489US)
Filed: I.A. Filing Date 8/28/2003; PCT/US03/27341
Title: METHOD AND SYSTEM FOR DYNAMIC MODELING AND RECIPE
OPTIMIZATION OF SEMICONDUCTOR ETCH PROCESSES
Attorney Docket: VOY-007US

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

MISSING PARTS TRANSMITTAL

Enclosed is a Declaration referring to the above serial number and filing date, which is submitted in compliance with 37 C.F.R. 1.68. Also enclosed is the surcharge of \$130 for filing the Declaration on a date later than 30 months from the priority date in accordance with 37 C.F.R. 1.492(e).

The Commissioner is hereby authorized to charge payment of any additional fees or credit any overpayment to Deposit Account No. 23-3000.

Respectfully submitted,

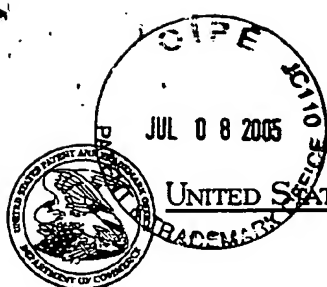
WOOD, HERRON & EVANS, L.L.P.

07/14/2005 ATRAM1 00000058 10523777
01 FC:1617 130.00 OP

By:

Joseph R. Jordan, Reg. No. 25,686

2700 Carew Tower
441 Vine Street
Cincinnati, Ohio 45202
PH: (513) 241-2324; FX: (513) 241-6234



UNITED STATES PATENT AND TRADEMARK OFFICE

2005 MAY 25 AM 11:57

Rec'd PCT/PTO

08 JUL 2005

PCT\$

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	WOOD, HERRON & EVANS FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/523,777	Kun Li	VOY-007US

INTERNATIONAL APPLICATION NO.

PCT/US03/27341

IA. FILING DATE	PRIORITY DATE
08/28/2003	08/28/2002

Wood Herron & Evans
 Joseph R Jordan
 441 Vine Street
 Suite 2700
 Cincinnati, OH 45202

CONFIRMATION NO. 5732

371 FORMALITIES LETTER



OC000000016064096

Date Mailed: 05/20/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/08/2005
- Copy of the International Search Report filed on 02/08/2005
- Copy of IPE Report filed on 02/08/2005
- Copy of Article 19 Amendments filed on 02/08/2005
- Oath or Declaration filed on 02/08/2005
- U.S. Basic National Fees filed on 02/08/2005
- Priority Documents filed on 02/08/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/523,777	PCT/US03/27341	VOY-007US